

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

CURRENT REPORT
PURSUANT TO SECTION 13 OR 15(d) OF THE
SECURITIES EXCHANGE ACT OF 1934

November 3, 2021

Date of report (Date of earliest event reported)

PROTO LABS, INC.

(Exact Name of Registrant as Specified in its Charter)

Minnesota

(State of Incorporation)

001-35435

(Commission File Number)

41-1939628

(I.R.S. Employer Identification No.)

5540 Pioneer Creek Drive
Maple Plain, Minnesota

(Address of Principal Executive Offices)

55359

(Zip Code)

(763) 479-3680

(Registrant's Telephone Number, Including Area Code)

Not Applicable

(Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- ☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- ☐ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- ☐ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- ☐ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Securities registered pursuant to Section 12(b) of the Act:

Title of each class	Trading Symbol(s)	Name of each exchange on which registered
Common Stock, Par Value \$0.001 Per Share	PRLB	New York Stock Exchange

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 or Rule 12b-2 of the Securities Exchange Act of 1934.

Emerging growth company ☐

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act. ☐

Item 5.02. Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

On November 5, 2021, Proto Labs, Inc. (the “Company”) announced that John Way would be resigning from his position as Chief Financial Officer (“CFO”), effective November 30, 2021.

As of the same date, the Company also appointed Dan Schumacher, age 46, as Interim Chief Financial Officer and principal financial and accounting officer, effective December 1, 2021. Mr. Schumacher has been with the Company since April 2017 and currently leads investor communication, forecasting and planning, and business intelligence for the Company as Vice President of Investor Relations and FP&A. From 2015 to 2017, Mr. Schumacher served as finance director in the Americas Finance & Operations organization of Stratasys, Inc, a 3D Printing OEM. From 2001 to 2015, Mr. Schumacher was in finance leadership roles of increasing responsibility for Rockwell Automation, an industrial automation company. Mr. Schumacher earned his bachelor’s degree in accounting from the University of Minnesota’s Carlson School of Management.

A copy of the press release issued by the Company on November 5, 2021 to announce the CFO succession is filed as Exhibit 99.1 to this Current Report on Form 8-K and is incorporated herein by reference.

Item 9.01. Financial Statements and Exhibits.

(d) Exhibits

- 99.1 [Press release of Proto Labs, Inc. dated November 5, 2021](#)
- 104 Cover Page Interactive Data File (formatted as Inline XBRL)

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: November 5, 2021

PROTO LABS, INC.

By: /s/ Robert Bodor

Robert Bodor

President and Chief Executive Officer



Protolabs Announces Departure of CFO John Way and Appoints Dan Schumacher Interim CFO

MINNEAPOLIS, MINN.—November 5, 2021—Proto Labs, Inc. (NYSE: PRLB), a leading online and technology-enabled, on-demand manufacturer, today announced John Way is resigning as Chief Financial Officer (CFO) to pursue an opportunity at another company. Mr. Way will continue in his role through November 30.

Dan Schumacher, Vice President of Investor Relations and FP&A has been named Interim Chief Financial Officer effective December 1, 2021. Dan has been with Protolabs for four years leading the company's investor relations and FP&A organizations. Dan has reported directly to John since he joined the company in 2017. Dan will continue to work closely with John and Rob Bodor, Protolabs CEO, over the next month to ensure a smooth transition.

"I want to thank John for his leadership at Protolabs. During his tenure John built a strong financial organization that will continue to serve us well in the years to come." said Rob Bodor, Chief Executive Officer.

"It has been a great pleasure to serve as CFO of Protolabs over the past seven years," said John Way. "I have the utmost confidence in the company and I am grateful for having had the opportunity to work with the leading digital manufacturer in the industry."

About Protolabs

Protolabs is the world's leading provider of digital manufacturing services. The e-commerce-based company offers injection molding, CNC machining, 3D printing, and sheet metal fabrication to product developers, engineers, and supply chain teams across the globe. Protolabs serves customers using in-house production capabilities that bring unprecedented speed in tandem with Hubs, a Protolabs Company, which serves customers through its network of premium manufacturing partners. Together, they help companies bring new ideas to market with the fastest and most comprehensive digital manufacturing service in the world. Visit protolabs.com for more information.

World Headquarters

5540 Pioneer Creek Drive

Maple Plain, MN 55359

877-479-3680

protolabs.com

Forward-Looking Statements

Statements contained in this press release regarding matters that are not historical or current facts are “forward-looking statements” within the meaning of The Private Securities Litigation Reform Act of 1995. These statements involve known and unknown risks, uncertainties and other factors which may cause the results of Protolabs to be materially different than those expressed or implied in such statements. Certain of these risk factors and others are described in the “Risk Factors” section within reports filed with the SEC. Other unknown or unpredictable factors also could have material adverse effects on Protolabs’ future results. The forward-looking statements included in this press release are made only as of the date hereof. Protolabs cannot guarantee future results, levels of activity, performance or achievements. Accordingly, you should not place undue reliance on these forward-looking statements. Finally, Protolabs expressly disclaims any intent or obligation to update any forward-looking statements to reflect subsequent events or circumstances.

Source: Proto Labs, Inc.

Investor Relations Contact

Protolabs
Dan Schumacher, 763-479-7240
Vice President of Investor Relations and FP&A
daniel.schumacher@protolabs.com

Media Contact

Protolabs
Will Martin, 763-479-7719
Director of Marketing Communications
will.martin@protolabs.com

World Headquarters

5540 Pioneer Creek Drive

Maple Plain, MN 55359

877-479-3680

[protolabs.com](https://www.protolabs.com)